

## Appendix A

### **Plan Crosswalk**

**FEMA Region IX****Final June 25, 2002**

**Instructions for using the attached Crosswalk Reference Document  
for Review and Submission of Local Mitigation Plans  
to the State Hazard Mitigation Officer**

Attached is a crosswalk reference document, which is based on the Draft Report State and Local Plan Interim Criteria Under the Disaster Mitigation Act of 2000 published by FEMA HQ and dated March 26, 2002. This document was based on the Disaster Mitigation Act of 2000, 44 CFR Parts 201 and 206; Interim Final Rule.

The purpose of the crosswalk is to provide a tool to local jurisdictions in developing and submitting Mitigation Plans under Section 322 of the Disaster Mitigation Act of 2000. The crosswalk can be used to assist local or multi-jurisdiction entities in the process of developing and reviewing Local or Multi-jurisdictional plan(s). Each Local or Multi-jurisdictional plan should be reviewed by the pertinent local jurisdictional entity prior to submitting them to the respective state. In addition as stated in the 44 CFR Parts 201 and 206 Hazard Mitigation Grant Program; Interim Final Rule §201.6(d)(1) "Plans must be submitted to the State Hazard Mitigation Officer for initial review and coordination. The State will then send the plan to the appropriate FEMA Regional Office for formal review and approval." The form located on Page 13 provides for local entity review and state review prior to the state submitting the plan to FEMA Region VIII for formal review and approval.

Tribes may submit hazard mitigation plans through their respective states or they can directly submit their plans to FEMA Region VIII. This means they can write a Local or Multi-jurisdictional Plan as a sub-grantee or they may write a Standard or Enhanced State Plan as a Grantee. When tribes are considering how they want to develop and submit their plans, they need to consider whether or not they want to be Grantees directly from FEMA or Sub-grantees through their respective states. The deciding factor would be how they want to apply for and receive Predisaster Mitigation Grant projects, Hazard Mitigation Grant Program projects or Flood Mitigation Assistance projects. Interested tribes can determine this by talking with their State Hazard Mitigation Officer or their respective FEMA Regional Federal Insurance and Mitigation Administration (FIMA) Division. In any case, each tribe should review their own plans before submitting them to their state or FEMA Regional office.

Following are explanations of each column.

- Column 1 references: The pertinent section from 44 CFR Parts 201 and 206 Interim Final Rule
- Column 2 directly quotes the 44 CFR Parts 201 and 206 Interim Final Rule.
- Column 3 is for the tribe and/or local jurisdiction to indicate the page number(s)/Annex or Section in their plan where the requirement has been met.
- Column 4 indicates on what page or pages more detailed information can be found regarding the requirements located in the State and Local Plan Interim Criteria Under the Disaster Mitigation Act of 2000 document.
- Column 5 provides space for State/FEMA comments and for scoring and approval of the plan.

## LOCAL MITIGATION PLAN PROFILE STATE/FEMA REGION IX

<b>Point of Contact:</b> Peter Hirai	<b>Date of Submission to State:</b> September 10, 2003	
<b>Title:</b> Plans and Operations Officer		
<b>Agency:</b> Oahu County Defense Agency	<b>NFIP Status (Single Jurisdiction)</b>	
<b>Phone Number:</b> (808) 524-5367	Participating <input checked="" type="checkbox"/>	Non-Participating <input type="checkbox"/>

Multi-jurisdiction: <input type="checkbox"/> YES <input type="checkbox"/> NO (If yes, list each jurisdiction below:)	N/A*	NFIP Status (for mapped communities)	
1.	<input type="checkbox"/>	Participating <input type="checkbox"/>	Non-Participating <input type="checkbox"/>
2.	<input type="checkbox"/>	Participating <input type="checkbox"/>	Non-Participating <input type="checkbox"/>
3.	<input type="checkbox"/>	Participating <input type="checkbox"/>	Non-Participating <input type="checkbox"/>
4.	<input type="checkbox"/>	Participating <input type="checkbox"/>	Non-Participating <input type="checkbox"/>
5.	<input type="checkbox"/>	Participating <input type="checkbox"/>	Non-Participating <input type="checkbox"/>
6.	<input type="checkbox"/>	Participating <input type="checkbox"/>	Non-Participating <input type="checkbox"/>
7.	<input type="checkbox"/>	Participating <input type="checkbox"/>	Non-Participating <input type="checkbox"/>
8.	<input type="checkbox"/>	Participating <input type="checkbox"/>	Non-Participating <input type="checkbox"/>

**Local Plan POC:**  
Please complete the information requested on this profile form. The form will be submitted with your plan to the state. Utilizing the attached crosswalk, compare your local plan content with the criteria outlined. Please note under the column heading "Page Number(s) in Plan" the page(s) where the criteria can be found in the plan being submitted for review. Thank you.

**\* Not applicable for communities not mapped and/or who do not have an identified flood risk.**

APPENDIX A— REGION IX PLAN CROSSWALK

**FEMA Region IX  
Local Mitigation Plans  
Part 3 Plan Review Criteria  
Disaster Mitigation Act of 2000  
44 CFR Parts 201 and 206; Interim Final Rule**

Name of Plan \_\_\_\_\_

Section from the Interim Final Rule Part 201	Requirement as taken from the Interim Final Rule	Indicate where the information is located in the Basic Plan and/or Annex and Section or Page #(s)	For further explanation and examples see Page # indicated below from the <u>State and Local Plan Interim Criteria Under the Disaster Mitigation Act of 2000 Document</u>	<p style="text-align: center;"><u>Scoring System</u> Met/Not Met Unsatisfactory Needs Improvement Satisfactory Outstanding</p> <hr/> <p style="text-align: center;">STATE/FEMA Reviewer Comments</p>
<b>Prerequisites</b>	<b>NOTE: All prerequisites must be met before the plan can be approved.</b>		3-1 (worksheet) 4-5	
<b>Adoption by the Local Governing Body Requirement §201.6(c)(5)</b>	[The local hazard mitigation plan shall include] documentation that the plan has been formally adopted by the governing body of the jurisdiction requesting approval of the plan (e.g., City Council, County Commissioner, Tribal Council)...	Section 2	3-2 (worksheet) 4-5	

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<p><b>Multi-Jurisdictional Plan Adoption</b>  (Where Applicable)  Requirement §201.6(c)(5)</p>	<p>For multi-jurisdictional plans, each jurisdiction requesting approval of the plan must document that it has been formally adopted.</p>	<p>N/A</p>	<p>3-3 (worksheet) 4-5</p>	
<p><b>Multi-Jurisdictional Planning Participation</b>  (Where Applicable)  Requirement §201.6(a)(3)</p>	<p>Multi-jurisdictional plans (e.g., watershed plans) may be accepted, as appropriate, as long as each jurisdiction has participated in the process... Statewide plans will not be accepted as multi-jurisdictional plans.</p>	<p>N/A</p>	<p>3-4 (worksheet) 4-5</p>	

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<p><b>Planning Process</b> § 201.6(b)(1-3):</p>	<p>[.....the planning process shall include:] (1) an opportunity for public comment on the plan during drafting stage and prior to plan approval.....(2) input includes neighboring communities, local and regional agencies involved in hazard mitigation activities, and agencies having authority to regulate development including businesses, academia and other private and non-profit interests....(3) as appropriate, review and incorporate existing plans, studies, reports and technical information.</p>	<p>Section 2</p>	<p>3-5  (worksheet) 4-5</p>	

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<b>Documentation of the Planning Process</b>  <b>Requirement §201.6(c)(1):</b>	[The plan must document] the planning process used to develop the plan, including how it was prepared, who was involved in the process, and how the public was involved.	Section 2	3-6  (worksheet) 4-5	
<b>Risk Assessment</b>			3-9  (worksheet) 4-5	
<b>Identifying Hazards</b>  <b>Requirement §201.6(c)(2)(i):</b>	[The risk assessment shall include a] description of the type....of all natural hazards that can affect the jurisdiction...	Section 1	3-10  (worksheet) 4-5	

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<p><b>Profiling Hazard Events</b></p> <p><b>Requirement §201.6(c)(2)(i):</b></p>	<p>[The risk assessment shall include a] description of the...location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.</p>	<p>Section 1 and Sections 4 - 15</p>	<p>3-14  (worksheet) 4-5</p>	
<p><b>Assessing Vulnerability: Identifying Assets</b></p> <p><b>Requirement §201.6(c)(2)(ii)(A):</b></p> <p><b>(cont. on page 8)</b></p>	<p>[The risk assessment shall include a] description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.</p>	<p>Section 1, Section 3-A, and Section 6</p>	<p>3-18  (worksheet) 4-5</p>	

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<p>(cont. from page 7)</p> <p><b>Assessing Vulnerability: Identifying Assets</b></p> <p><b>Requirement §201.6(c)(2)(ii)(A):</b></p>	<p>The plan should describe vulnerability in terms of:</p> <ul style="list-style-type: none"> <li>The types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard areas...</li> </ul>	<p>Sections 5 - 13</p>	<p>3-18</p> <p>(worksheet) 4-5</p>	
<p><b>Assessing Vulnerability: Estimating Potential Losses</b></p> <p><b>Requirement §201.6(c)(2)(ii)(B):</b></p>	<p>[The plan should describe vulnerability in terms of an] estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate...</p>	<p>Section 1 and Section 16</p>	<p>3-22</p> <p>(worksheet) 4-5</p>	

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<b>Assessing Vulnerability: Analyzing Development Trends</b>  <b>Requirement §201.6(c)(2)(ii)(C):</b>	[The plan should describe vulnerability in terms of] providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.	Section 3	3-24  (worksheet) 4-5	
<b>Multi-Jurisdictional Risk Assessment</b>  <b>Requirement §201.6(c)(2)(iii):</b>	For multi-jurisdictional plans, the risk assessment section must assess each jurisdiction’s risks where they vary from the risks facing the entire planning area.	N/A	3-26  (worksheet) 4-5	

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<b>Mitigation Strategy §201.6(c)(3)</b>	The mitigation strategy is provided [based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.]	Section 12	No Specific Guidance	
<b>Local Hazard Mitigation Goals Requirement §201.6(c)(3)(i):</b>	[The hazard mitigation strategy shall include: a] description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.	Section 16	3-30  (worksheet) 4-6	

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<p><b>Identification and Analysis of Mitigation Measures</b></p> <p><b>Requirement §201.6(c)(3)(ii):</b></p>	<p>[The mitigation strategy shall include a] section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.</p>	<p>Section 16</p>	<p>3-34  (worksheet) 4-6</p>	

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<p><b>Implementation of Mitigation Measures</b></p> <p><b>Requirement §201.6(c)(3)(iii):</b></p>	<p>[The mitigation strategy section shall include] an action plan describing how the actions identified in section (c)(3)(ii) will be prioritized, implemented, and administered by the local jurisdiction. Prioritization shall include a special emphasis on the extent to which benefits are maximized according to a cost benefit review of the proposed projects and their associated costs.</p>	<p>Section 16</p>	<p>3-36  (worksheet) 4-6</p>	

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<b>Multi-jurisdictional Mitigation Strategy Requirement §201.6(c)(3)(iv):</b>	For multi-jurisdictional plans, there must be identifiable action items specific to the jurisdiction requesting FEMA approval or credit of the plan.	N/A	3-40  (worksheet) 4-6	
<b>Plan Maintenance Procedures</b>			3-43  (worksheet) 4-6	
<b>Monitoring, Evaluating, and Updating the Plan Requirement §201.6(c)(4)(i):</b>	[The plan maintenance process shall include a section describing the] method and schedule of monitoring, evaluating, and updating the mitigation plan within a five-year cycle.	Section 17	3-44  (worksheet) 4-6	

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<p><b>Implementation Through Existing Programs</b></p> <p><b>Requirement §201.6(c)(4)(ii):</b></p>	<p>[The plan shall include a] process by which local governments incorporate the requirements of the mitigation plan into other planning mechanisms such as comprehensive or capital improvement plans, when appropriate...</p>	<p>Section 17</p>	<p>3-48  (worksheet) 4-6</p>	
<p><b>Continued Public Involvement</b></p> <p><b>Requirement §201.6(c)(4)(iii):</b></p>	<p>[The plan maintenance process shall include a] discussion on how the community will continue public participation in the plan maintenance process.</p>	<p>Section 17</p>	<p>3-50  (worksheet) 4-6</p>	
<p><b>Additional State Requirements</b></p>			<p>(worksheet) 4-6</p>	
<p>*</p>	<p>Insert State Requirement</p>		<p>(worksheet) 4-6</p>	

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*	Insert State Requirement		(worksheet) 4-6	
*	Insert State Requirement		(worksheet) 4-6	

**\*States that have additional requirements can add them in the appropriate sections of the plan or create a new section. States need then modify this worksheet to record the score for those requirements.**

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**Local Mitigation Plan Review**

<b><i>Local Requirement</i></b>		
<b>Local Plan Reviewed by:</b>	<b>Title:</b>	<b>Date:</b>
<b>Local Plan Submitted to the State by:</b>	<b>Title:</b>	<b>Date:</b>

<b><i>State Requirement</i></b>		
<b>State Reviewer:</b>	<b>Title:</b>	<b>Date:</b>

<b><i>FEMA Requirement</i></b>		
<b>FEMA Reviewer:</b>	<b>Title:</b>	<b>Date:</b>
<b>Date Received in FEMA Region VIII</b>		
<b>Plan Not Approved</b>		
<b>Plan Approved</b>		
<b>Date Approved</b>		